

 <p>United Nations Development Programme Regional Center in Asia Pacific</p>	<p>BACK TO OFFICE REPORT (BTOR)</p> <p>Submitted by: Alan Resture Title: Project Manager Date Submitted: 17th June 2019 TA Serial Number:</p>
<p>1. Practice Area: Resilience & Sustainable Development - Coastal Adaptation 2. Service Line(s):</p>	
<p>3. Mission Period (incl. of travel days):</p> <p>From: 1st June 2019 To: 13th June 2019</p>	
<p>4. Type of Service/Mission</p> <p><input type="checkbox"/> Advocacy <input type="checkbox"/> Analysis <input type="checkbox"/> Policy Advice <input type="checkbox"/> Programme / Technical Backstopping <input type="checkbox"/> Resource Person <input checked="" type="checkbox"/> Regional Technical Consultations <input checked="" type="checkbox"/> Training / Capacity Development <input type="checkbox"/> Others (specify):</p>	<p>5. Client(s)</p> <p>GCF Secretariat PM, SG, and Director of Climate Change -Tuvalu Delegation Yusuke Taishi - RTA Kevin Petrini - Team Leader UNDP Arthur Webb - TCAP CTA Winifereti Nainoca - Deputy Team Leader UNDP Jone Feresi - TCAP Deputy Project Manager Timaima Qeranatabua - Procurement Associate Nazza - Operations Team Leader Vimal - UNDP Procurement Associate</p>
<p>6. Purpose of Mission:</p> <p>* Tripartite Meeting between Government of Tuvalu, GCF Secretariat, and UNDP * Finalise APR * Discuss Procurement Strategy UNDP-Govt of Tuvalu Dialogue</p>	<p>7. Documents, Materials, Resources from Mission</p> <p>Minutes from bilateral meetings - GoT & UNDP, GCF & UNDP Minutes from Tripartite meeting Restructuring paper No Objection Letter - From Tuvalu PM & NDA Change of Scope letter from Tuvalu PM Final APR</p>
<p>8. Mission Member(s) (include consultants if any)</p> <p>Kevin Petrini, Arthur Webb, Yusuke Taishi, Alan Resture</p>	<p>9. Cost (for RCC staff only)</p>
<p>10. Brief Summary of the Mission:</p> <p>10A. Findings</p> <p>1. UNDP internal meeting took place on Tuesday morning 6th June at Holiday Inn, Songdo, between Yusuke, Kevin, Arthur and Alan to map out talking points for PM during Tripartite meeting</p> <p>Went over update from UNDP: Provisional agreement from GCF that the change is Category B risk. GCF considers this a major change and needs a Restructuring paper. UNDP & GoT to ensure that GCF agrees and confirms provisional Category B risk.</p> <p>Timeframe: ESIA to start immediately. Nanumea and Nanumaga ESIA to be completed by March 2020; Funafuti by August 2020. Additional support is needed from DFAT, Australia to expedite ESIA.</p> <p>2. UNDP-GOT meeting Tuesday 6th June following the UNDP team meeting.</p> <p>GoT wants to reaffirm to GCF that Tuvalu needs land reclamation on Funafuti to increase resilience. UNSG supports this in his statement when he visited Tuvalu. He has seen how vulnerable Tuvalu is.</p> <p>GoT wants to fast track the process without having to go to GCF Board for approval.</p>	

Transportation to Nanumea and Nanumaga could be an issue. GoT and DFAT-Aust to assist with providing a vessel for the ESIA. Request PM to raise to Australian Board member for DFAT to assist TCAP with fast tracking ESIA.

Tuvalu PM invited Yusuke and Kevin to the PIF "Sautalaga" in August.

3. Tripartite Meeting: GoT-GCF Secretariat-UNDP

- (i) GCF agrees that ESS categorisation for Funafuti land Reclamation is provisionally Cat B
- (ii) Technical note submitted by UNDP on behalf of TCAP is considered technically sound
- (iii) UNDP to submit Restructuring paper within 7 days, so that it goes to Board members during the inter board session.
- (iv) GoT to submit "Letter of no objection and "Request for change of scope and design" letters to UNDP

4. GoT-UNDP Suva debriefing

- (i) PM acknowledged UNDP's hard work and thanked Kevin for his leadership in pushing the TCAP project forward.
- (ii) PM and Secretary to Government offered to provide Tuvalu's new patrol boat Ms Mataili for TCAP to use for its ESIA on Nanumea and Nanumaga
- (iii) PM deferred TCAP's project board meeting to a later date as it is a low priority at the moment.

10B. Results Achieved (concrete outputs)

1. GCF agreed that:

- ESS Categorization: Based on earlier submitted information, TCAP was allocated a provisional A rating. However, based on the recent submission of the Preliminary Environmental Assessment by UNDP, TCAP is now assessed as a Provisional B category project, though still subject to confirmation through the findings of the ESIA.
- The preliminary technical design for the proposed changes to the approved project, contained in the Technical Note (FP015-UNDP-100519-5699) submitted by UNDP, is considered appropriate for all three sites and we encourage UNDP to develop these into full technical designs with all engineering details and corresponding environmental and social safeguards assessments.
- Submission of a restructuring paper: GCF indicated that per GCF's Cancellation and Restructuring Policy that the proposed change constitutes a "Major Change" citing GCF/B.22/24 Annex VI: Policy on restructuring and cancellation, para. 16h as the basis of this decision. "Any change in a project / programme that results in a change in the environmental and social safeguards category from a lower to a higher category, or changes within a category that would trigger additional safeguards standards to be applied or require additional due diligence." Thus GCF requested a "restructuring proposal" from UNDP based on the underlined above. This only applies to output 2.2 (coastal infrastructure and the majority of the budget) of the project.

UNDP then requested guidance in terms of what information was needed for a "restructuring proposal." GCF Secretariat indicated that currently there were no guidelines, but that they could provide a format by Thursday, 6 June to facilitate this submission.

Based on the guidance provided by GCF Secretariat, UNDP is currently finalizing a "restructuring proposal" and will submit a final version to GCF Secretariat on Thursday, 13 June. It is understood that the "restructuring proposal" will be submitted to the GCF Board intersessionally by the GCF Executive Director.

10C. Expected Outcome(s) and Impact

Unanimous endorsement of Restructuring paper by Board Members

Provision of GoT patrol boat Ms Mataili for TCAP ESIA work.

11. Key counterpart (s) and persons you met in each location and their contact details:

Name:

Kevin Petrini - Team Leader RSD UNDP Pacific- kevin.petrini@undp.org
 Arthur Webb - Chief Technical Advisor TCAP UNDP Pacific - arthur.webb@undp.org
 Yusuke Taishi - Regional Technical Advisor - yusuke.taishi@undp.org
 Winifereti Nainoca-UNDP Pacific- winifereti.nainoca@undp.org
 Jone Feresi - UNDP Pacific - jone.feresi@undp.org
 Timaima. Qeranatabua- UNDP Pacific- timaima.qeranatabua@undp.org

HE PM Enele Sosene Sopoaga - Tuvalu's Prime Minister - enelesopoaga@yahoo.com
 HE Tine Leuelu - Secretary to Government - tleuelu@yahoo.com
 Pepetua Latasi - Director, Department of Climate Change and Disaster - pepetua@gmail.com

Contact Information:

Kevin Petrini
 Winifereti Nainoca
 Jone Feresi
 Arthur Webb

12. Follow-up Action Matrix

Actions to be taken	By Whom	Expected Completion Date
Arrangement with Police Commissioner on use of Mataili for ESIA	Alan Resture	17 th June , 2019
TCAP outer island mission	Feue Tipu, Alan Resture, Arthur Webb, Jone Feresi,	Early July 2019

13. Distribution List (BTOR sent to):

Team Leader RSD
 Deputy Leader RSD
 Deputy Project Manager

Instructions for Completing the BTOR Template

The purpose of the BTOR is to provide brief information (with appropriate links to detailed analytical report, contacts, lessons learned, actions to be taken, impacts, achievement, etc.) on the missions undertaken by staff at the RCC/RCB. This intends to serve the need of management, input to knowledge development, facilitate compilation for reporting and serve clients and target audiences.

The BTOR should be no more than 2 pages. All relevant and supporting documents should be linked or attached.

1. **MYFF Practice Area:** Indicate Practice Area, as given in the MYFF.
2. **MYFF Service Line(s):** Indicate the corresponding MYFF service-line(s). If applicable, indicate cross-practice.
3. **Mission Period:** Indicate date of departure and return, inclusive of travel days.
4. **Type of Service/Mission:** Check one or more boxes as applicable and provide details of the mission under (6) Purpose of Mission
5. **Clients:** Indicate clients who requested and/or benefited from the service(s), if applicable (COs, external partners, governments, RCC, RCB, BDP, RBAP, etc).
6. **Purpose of Mission:** Provide brief bullet-points on purpose of mission (extract from TOR if available). If previous mission has been undertaken, indicate when, for what purpose and any outputs that may have been produced.
7. **Documents, Materials, Resources from Mission:** List and attach any key documents, materials, or resources received/prepared for the mission.
8. **Mission Member(s):** List all members of the mission, including consultant(s), if any, and their roles or responsibilities during the mission and for any expected outputs.
9. **Cost(s):** Indicate cost per person per day, including travel time, FOR RCC STAFF ONLY. Also indicate total cost, if more than one RCC/RCB staff member, e.g. \$400/person/day x 2 days x 2 staff = \$1600.
10. **Brief summary of the mission:** Indicate main findings, key results achieved (concrete outputs/deliverables), expected outcomes/impact in terms of programme development, partnerships, policy changes, etc.
11. **Key counterpart and persons met:** Provides links to or attach detailed mission report, including persons met with contact details, meetings attended, lessons learned, actions taken and follow-up, reference to previous missions, etc.
12. **Follow-up Action Matrix:** Indicate main actions to be taken by different stakeholders (e.g. COs, RCC, RBAP, etc) with expected completion dates for each.
13. **Distribution List:** Indicate recipient(s) of BTOR.